

03500.010530.5

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: George R. Fourson, III
Kiyofumi SAKAGUCHI, et al.	)	
	:	Group Art Unit: 2823
Appln. No.: 10/085,046	)	
	:	
Filed: March 1, 2002	)	
	:	
For: PROCESS FOR PRODUCTION OF	)	
SEMICONDUCTOR SUBSTRATE	:	April 28, 2006

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

FOURTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

REMARKS

As discussed in the November 14, 2005 Third Information Disclosure Statement, the following documents were cited in a Japanese Official Action of a foreign counterpart application (Japanese Patent Application No. 10-230926) corresponding to the above-referenced application:

- (1) JP-A 05-021338;
- (2) JP-A 05-211128;

- (3) JP-A 05-218464;
- (4) JP-A 05-283722;
- (5) JP-A 05-217827
- (6) JP-A 05-299362; and
- (7) JP-A 04-034961.

Copies of the JP documents were filed with the November 14, 2005 Information Disclosure Statement. English-language translations of Documents (3), (6), and (7) are enclosed. Applicants submit that EP 0469630 A2 corresponds to Document (1).

#### FORMAL MATTERS

Any fee required in connection with this paper should be charged to Deposit Account No. 06-1205.

#### CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

/Daniel S. Glueck/  
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FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)  Date Submitted to USPTO: April 28, 2006			ATTY DOCKET NO. <b>03500.010530.5</b>		APPLICATION NO. <b>10/085,046</b>		
APPLICANT <b>Kiyofumi SAKAGUCHI, et al.</b>							
FILING DATE <b>March 1, 2002</b>					GROUP <b>2823</b>		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		<b>5-218464</b>	<b>08/27/1993</b>	<b>Japan</b>			<b>Yes</b>
		<b>5-299362</b>	<b>11/12/1993</b>	<b>Japan</b>			<b>Yes</b>
		<b>4-034961</b>	<b>02/05/1992</b>	<b>Japan</b>			<b>Yes</b>
		<b>0 469 630 A2</b>	<b>02/05/1992</b>	<b>Europe</b>			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.